

PATENT

Case Docket No. MTIPAT.30CP1C2  
Date: May 20, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Holden, et al.  
Appl. No. : 10/691,058  
Filed : October 21, 2003  
For : MIXED ENCLAVE  
OPERATION IN A  
COMPUTER NETWORK  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 20, 2004

(Date)

Eric M. Nelson, Reg. No. 43,892

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing thirty-three (33) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Eric M. Nelson  
Registration No. 43,892  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



Docket No.: MTIPAT.30CP1C2

Customer No. 20,995

IFW

## INFORMATION DISCLOSURE STATEMENT

Applicant : Holden, et al.  
App. No. : 10/691,058  
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Examiner : Unknown  
Group Art Unit : Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 33 references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/20/2004

By: [Signature]

Eric M. Nelson  
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Attorney of Record  
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(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MTIPAT.30CP1C2APPLICATION NO.  
10/691,058INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Holden, et al.FILING DATE  
October 21, 2003GROUP  
Unknown

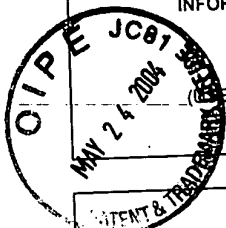
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,104,721	08/1978	Markstein et al.			
	2	4,694,492	09/1987	Wirstrom et al.			
	3	4,799,153	01/1989	Hann et al.			
	4	4,896,319	01/1990	Lidinsky et al.			
	5	4,924,513	05/1990	Herbison et al.			
	6	4,962,449	10/1990	Schlesinger			
	7	5,056,140	10/1991	Kimbell			
	8	5,113,499	05/1992	Ankney et al.			
	9	5,163,147	11/1992	Orita			
	10	5,204,961	04/1993	Barlow			
	11	5,253,294	10/1993	Maurer			
	12	5,283,828	02/1994	Saunders et al.			
	13	5,416,842	05/1995	Aziz			
	14	5,434,920	07/1995	Cox et al.			
	15	5,442,702	08/1995	van Ooijen et al.			
	16	5,455,861	10/1995	Faucher et al.			
	17	5,473,696	12/1995	van Breemen et al.			
	18	5,548,721	08/1996	Denslow			
	19	5,577,209	11/1996	Boyle et al.			
	20	5,602,918	02/1997	Chen et al.			
	21	5,606,668	02/1997	Shwed			
	22	5,692,124	11/1997	Holden et al.			
	23	5,781,632	7/1998	Odom			
	24	5,828,832	10/1998	Holden et al.			
	25	5,940,591	08/1999	Boyle et al.			
	26	6,067,620	05/2000	Holden et al.			
	27	6,272,639	08/2001	Holden et al.			
	28	6,643,698	11/2003	Holden et al.			

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MTIPAT.30CP1C2	APPLICATION NO. 10/691,058
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	APPLICANT Holden, et al.	
	FILING DATE October 21, 2003	GROUP Unknown

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
29	Lu et al., "A Model for Multilevel Security in Computer Networks", IEEE, Mar. 1988, pp. 1095-1104	
30	Mitze, Bob, "Security Through Software (Unix System V/MLS Multi-Level Security O/S), Exe, v4, No. 6, p. S3 (1); Nov., 1989	
31	Nagaki, Junior A. et al., "Integration of Digital, Voice, and Video Data on a Multilevel Secure Fiber Optic Network," 1985 IEEE Military Communications Conference (MILCOM '85) "The Electronic Battle: A New Era in Military Communications," October 20-23, 1985 Boston, MA, Pages 215-219.	
32	Palmer, Scott, "Microsoft OS-2 to Conform to Posix, C2 Security Level," Fed. Comp. Week, v3, No. 15, p. 6(1); Apr. 10, 1989 (abstract)	
33	Watts, Jan, "Network Communications," (OSI Model); PC-Computing, v4, No. 1, p. 164(2); Jan. 1991	

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052004

EXAMINER	DATE CONSIDERED
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